



I Semester M.Com. (F&A)/M.F.A. (CBCS) Examination, January 2017
Paper – 1.6 : FINANCIAL MARKETS AND SERVICES

Time : 3 Hours

Max. Marks : 70

SECTION – A

1. Answer **any seven** sub-questions. **Each** sub-question carries **two** marks. **(7×2=14)**
- Distinguish between marketable securities and non-marketable securities with examples.
 - What is financial intermediation ?
 - Distinguish between primary markets and secondary markets.
 - What is meant by consortium approach to lending ?
 - Expand the following :
 - NYSE
 - NASDAQ
 - OTCEI
 - BSE.
 - Distinguish between OTC and exchange traded options.
 - What is meant by financial service ?
 - What is meant by custodial service ?
 - Give the meaning of open market operations.
 - Define sub standard asset.

SECTION – B

- Answer **any four** questions. **Each** question carries **five** marks. **(4×5=20)**
- Explain briefly the functions of financial system.
 - Explain any two instruments of money market.
 - Bring out the differences between financial lease and operating lease.



5. Mention any four regulatory organization and briefly explain the role of two regulatory organizations.
6. What are “Nichi” banks ? Explain their functions briefly.
7. Bring out the differences between factoring and forfeiting.

SECTION – C

Answer **any three** questions. **Each** question carries **12** marks.

(3×12=36)

8. Explain in detail “IRAC” norms and the implication of the same on Indian banks.
9. Explain the stages in raising capital through initial public offering.
10. Define financial services. Explain the importance of financial services.
11. Explain the role of OTCEI.
12. Explain the role of SEBI as a market regulator.

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